

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : (To Be Assigned) Confirmation No. : (TBA)
First Named Inventor : Yuji NAKAMURA
Filed : April 14, 2006
TC/A.U. : (To Be Assigned)
Examiner : (To Be Assigned)
Docket No. : 038919.57625US
Customer No. : 23911
Title : Hub Unit for Driving Wheel

**INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § § 1.97 AND 1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, the attached Form PTO-1449 lists documents which the Examiner may deem relevant to patentability of the claims of the above-identified application.

I. Time Period of Submission

This Information Disclosure Statement is submitted:

☒ 1) no later than three months from the application's filing date or 2) before the mailing date of the first Office Action on the merits (whichever is later) or 3) before a first Office Action after the filing of a Request for Continued Examination, and therefore no statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

☐ 2) after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, a Notice of Allowance, or an action closing prosecution (*Ex parte Quayle*), (whichever is earlier), and therefore Applicant is filing concurrently herewith:

☐ a Statement under 37 C.F.R. § 1.97(e); or

☐ a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

☐ 3) after either a Final Office Action or a Notice of Allowance, but before payment of the Issue Fee, and therefore Applicant is submitting herewith:

a Statement under 37 C.F.R. § 1.97(e); and

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a fee in the amount of \$180.00 under 37 C.F.R. § 1.17(p).

II. Statement Under 37 C.F.R. § 1.97(e)

☐ I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or

☐ I hereby state that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to my knowledge after making a reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement; or

III. Statement under 37 C.F.R. § 1.704(d)

☐ I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

IV. Submission of Non-English Language Documents

☒ The following is a concise explanation of relevance of the non-English language documents listed in the attached Form PTO-1449:

☒ The relevance of document(s) AW - AY to the subject matter of the present invention is/are provided in the specification of the above-identified application.

☒ Corresponding foreign or international report(s) citing document(s) AE - AI, AK, and AM -AV, together with an English-language version(s) (if not already in English) of that portion of the report(s) indicating the degree of relevance found by the foreign office(s) is/are submitted.

☒ English language family member publication(s) of document(s) AE, AL, and AN is/are noted on Form PTO-1449.

☒ English language abstract(s) is/are submitted for document(s) AP.

☐ English translation(s) of the foreign language document(s)
_____ is/are submitted herewith.

☐ Applicant submits the following explanations:
_____.

V. Continuations/Divisionals


☐ Documents _____ were of record in parent application Serial No. _____, filed _____, from which this application claims benefit. As provided in 37 C.F.R. §1.98(d), copies of the documents are not being provided since they were previously submitted to or cited by the United States Patent and Trademark Office in the afore-mentioned parent application.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

If necessary, this paper should be considered as an authorization to charge Deposit Account 05-1323, Attorney Docket No.: 038919.57625US, for the fee set forth in 37 C.F.R. § 1.17(p).

Respectfully submitted,

April 14, 2006



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PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	(To Be Assigned) 10/576089
		Filing Date	April 14, 2006
		First Named Inventor	YUJI NAKAMURA
		Art Unit	(To Be Assigned)
		Examiner Name	(To Be Assigned)
Sheet	1	of	2
		Attorney Docket Number	038919.57625US

U.S. PATENT DOCUMENTS					
Examiner Initials ¹	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
AA	US-5,633,437	A	05-27-1997	BERNASCONI et al.	
AB	US-5,902,065	A	05-11-1999	FORESTIERO et al.	
AC	US-6,254,276	B1	07-03-2001	OUCHI et al.	
AD	US-5,975,761	A	11-02-1999	OUCHI et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	7 ⁶
		Country Code ² -Number ³ -Kind Code ⁴ (if known)				
AE		JP 11-23596 A	01-29-1999	NSK Ltd.		
AF		JP 10-319027 A	12-04-1998			
AG		JP 10-332723 A	12-16-1998			
AH		JP 2001-243926 A	09-07-2001			
AI		JP 2004-85560 A	03-18-2004			
AJ		EP 0 669 365 A1	10-07-1996	NSK Ltd.		
AK		JP 2001-315501 A	11-13-2001	NTN Corp.		
AL		EP 0 767 365 A1	04-09-1997	SKF Industrie S.p.A	Corresponds to AB above	
AM		DE 696 21 691 T2	03-20-2003	SKF Industrie S.p.A		
AN		JP 2000-513804 A	10-17-2000	SNR Roulements	Corresponds to AA above	
AO		FR 2 740 186 A1	04-25-1997	SNR Roulements Societe Anonyme		
AP		WO 97/15633 A1	05-01-1997	SNR Roulements		ABS
AQ		DE 696 20 916 T2	11-14-2002	SNR Roulements		
AR		EP 0 690 107 B1	01-13-1999	SNR Roulements		
AS		JP 2003-254985 A	09-10-2003	NSK Ltd.		
AT		JP 11-326355 A	11-26-1999	NSK Ltd.		
AU		JP 9-218215 A	08-19-1997	Sumitomo Electric Industries, Ltd.		
AV		JP 58-92955 A	06-02-1983	Nissan Motor Co., Ltd.		
AW		JP 2000-221202 A	08-11-2000			
AX		JP 2000-221203 A	08-11-2000			
AY		JP 2000-221204 A	08-11-2000			

Examiner Signature	Date Considered
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¹ EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 806. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ² Applicant's unique citation designation number (optional). ³ See Kind Code of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁷ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.87 and 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IAP15 Rec'd PCT/PTO 14 APR 2006
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

NON PATENT LITERATURE DOCUMENTS

Examiner
Signature

/William Joyce/

Date
Considered

04/05/2011

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, prepping, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /W.J./